

10.1 Curves & Surfaces.

[Source: Angel & Shreiner Chapter 10.]

① Explicit Representation - (limited)

gives value of dependent variable
in terms of independent variable.

E.g.

$$\begin{array}{ccc} & y = f(x) & , \text{ or} \\ \text{dependent} \nearrow & & \nwarrow \text{independent} \\ & x = f(y) & \end{array}$$

⊛ $y = mx + b$

Form is not guaranteed to exist for a given curve.

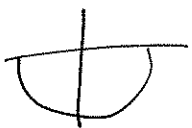
E.g., vertical line in ⊛.

Example Circle.



$$y = (r^2 - x^2)^{1/2}$$

half the circle.



$$y = -(r^2 - x^2)^{1/2}$$

other half.

restriction

$$0 \leq |x| \leq r$$

In 3D, explicit curve representation:

$$y = f(x)$$

$$z = g(x)$$

Surface requires 2 indep. variables:

$$z = f(x, y)$$

Curve or surface may not have explicit representation

Eg.
curve

$$\left. \begin{aligned} y &= ax + b \\ z &= cx + d \end{aligned} \right\}$$

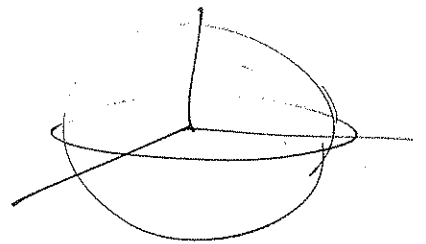
can't describe line in constant x -plane.

Eg.
surface

sphere

$$z = f(x, y)$$

given x, y give 0, 1, or 2 points on sphere.



Implicit Representations

2D implicit curve

$$f(x, y) = 0.$$

Limitation is obtaining points on the curve or surface.

line

$$ax + by + c = 0$$

circle

$$x^2 + y^2 - r^2 = 0.$$

f is a "testing" or "membership" function but it is not necessarily easy to find a y satisfying equation, given x .

3D implicit

surface

$$f(x, y, z) = 0.$$

e.g. plane

$$ax + by + cz + d = 0.$$

e.g. sphere

$$x^2 + y^2 + z^2 - r^2 = 0$$

curve

intersection of 2 surfaces.

$$f(x, y, z) = 0$$

$$g(x, y, z) = 0.$$

Algebraic surfaces :

$$f(x, y, z) = 0$$

f is a sum of polynomials in x, y, z

e.g. quadric surfaces

each term in f has degree ≤ 2 .

intersections w/ lines has at most
two intersection points.

(useful ~~to~~ fact for rendering).

Parametric Form

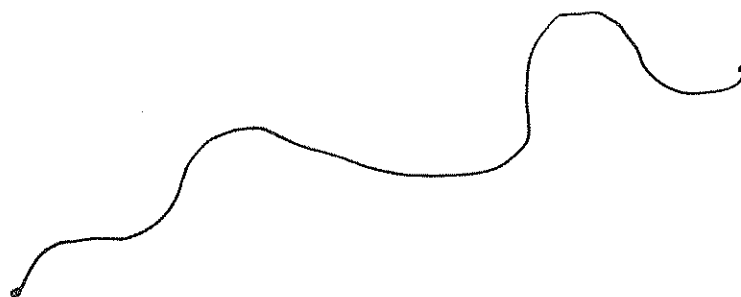
parameter, u

$$\left. \begin{aligned} x &= x(u) \\ y &= y(u) \\ z &= z(u) \end{aligned} \right\} \text{curve in 3 dimensions}$$



- similar in 2D

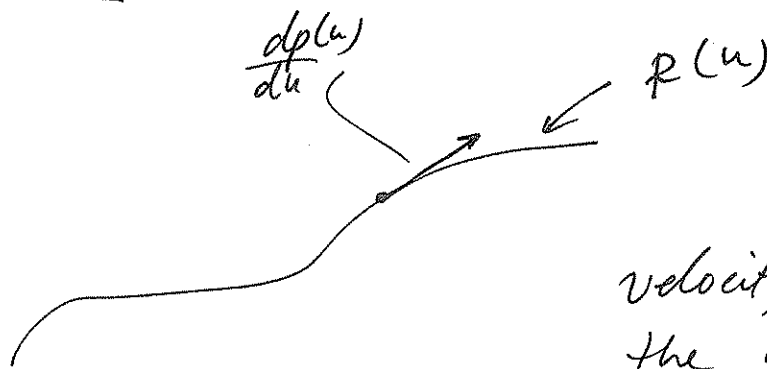
$$\left. \begin{aligned} x &= x(u) \\ y &= y(u) \end{aligned} \right\} \text{curve in 2 dimensions}$$



locus of points
drawn as u
varies.

$$p(u) = \begin{pmatrix} x(u) \\ y(u) \\ z(u) \end{pmatrix}$$

Tangent Vector



velocity with which
the curve is
traced out.

$$\frac{dp}{du} = \begin{pmatrix} \frac{dx}{du} \\ \frac{dy}{du} \\ \frac{dz}{du} \end{pmatrix}$$

points in the direction
tangent to the curve

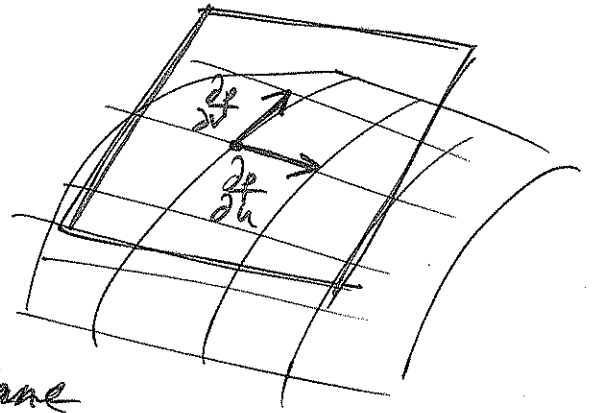
Parametric Surfaces

- require 2 parameters, u, v

$$\left. \begin{aligned} x &= x(u, v) \\ y &= y(u, v) \\ z &= z(u, v) \end{aligned} \right\} \text{Surface in 3D.} \quad \square$$

~~$p(u)$~~ $p(u, v) = \begin{pmatrix} x(u, v) \\ y(u, v) \\ z(u, v) \end{pmatrix}$

$$\frac{\partial p}{\partial u} = \begin{pmatrix} \frac{\partial x}{\partial u} \\ \frac{\partial y}{\partial u} \\ \frac{\partial z}{\partial u} \end{pmatrix}, \quad \frac{\partial p}{\partial v} = \begin{pmatrix} \frac{\partial x}{\partial v} \\ \frac{\partial y}{\partial v} \\ \frac{\partial z}{\partial v} \end{pmatrix}$$



tangent vectors define tangent plane.

$$\underline{N} = \frac{\partial p}{\partial u} \times \frac{\partial p}{\partial v}$$

cross product gives normal. \square

E.g., Frenet Frame



tangent
normal
binormal.

parametric polynomial Curves

- non-unique.

- widely used in computer graphics

$$p(u) = \begin{pmatrix} x(u) \\ y(u) \\ z(u) \end{pmatrix}$$

polynomial:

$$p(u) = \sum_{k=0}^n u^k \underline{c}_k,$$

$$c_k = \begin{pmatrix} c_{xk} \\ c_{yk} \\ c_{zk} \end{pmatrix}$$

$$p(u) = \sum_{k=0}^n u^k \begin{pmatrix} c_{xk} \\ c_{yk} \\ c_{zk} \end{pmatrix}$$

c_k independent x, y, z components.

$n+1$ column matrices $\{c_k\}$
Coefficients of p .

The $\{c_k\}$ comprise $3(n+1)$ degrees of freedom in choosing p .

WLOG, often take

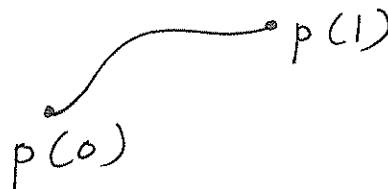
$$0 \leq u, v \leq 1$$

curve segment

$$0 \leq u \leq 1$$

$$u_{\min} \leq u \leq u_{\max}$$

↑
can be rescaled



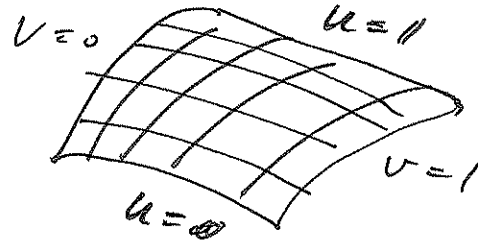
Parametric Polynomial Surfaces

$$p(u,v) = \begin{bmatrix} x(u,v) \\ y(u,v) \\ z(u,v) \end{bmatrix} = \sum_{i=0}^n \sum_{j=0}^m c_{ij} u^i v^j \quad \left. \vphantom{\sum_{i=0}^n \sum_{j=0}^m} \right\} \begin{array}{l} 3(m+1)(n+1) \\ \text{coefficients.} \end{array}$$

usually take $m=n$, $0 \leq u, v \leq 1$

Note: if you hold u constant, get a parametrized curve in v , + vice versa.

↳ surface patch

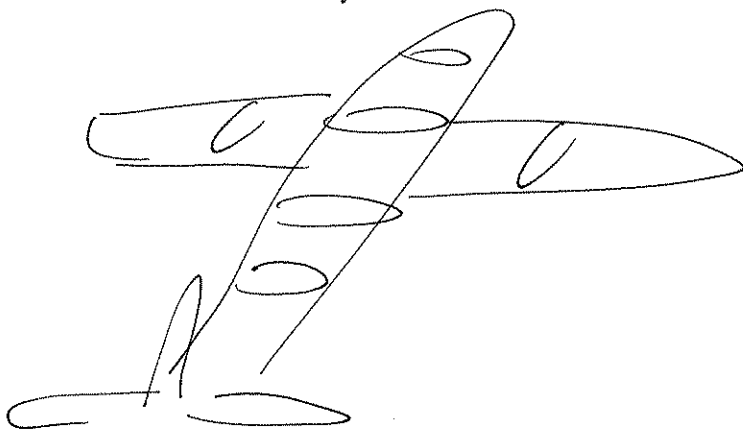


Design Considerations

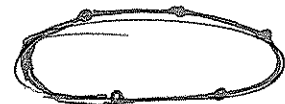


- local control of shape
- smoothness + continuity
- ability to evaluate derivatives
- stability
- ease of rendering.

E.g. model airplane



cross section



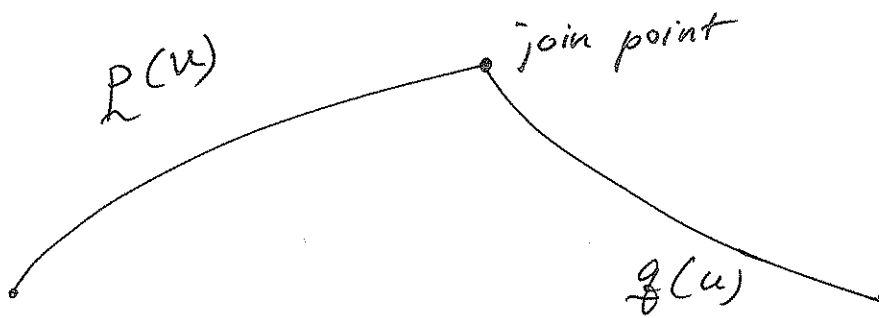
approximation
out of number of
wood strips.

join points.



For polynomial curves + surfaces, ~~most~~ segments are smooth + have all derivatives.

Complications may arise at join points.



- we want local control, so ~~we~~ we want to design each segment individually, + interactively
- we want stability: small changes in values of input parameters should cause only small change in output.

Typically, consider data at a small # of control points.

Curve may interpolate control points

or

not directly interpolate, but come close to all



10.3 Parametric Cubic Polynomial Curves

- High degree : + many parameters
- evaluating pts. on curve costly
 - higher order - more oscillatory

use low degree polynomials, defined over short interval.
 piecewise cubic polynomials

$$p(u) = c_0 + c_1 u + c_2 u^2 + c_3 u^3 = \sum_{k=0}^3 c_k u^k$$

$$= \begin{pmatrix} c_{0x} \\ c_{0y} \\ c_{0z} \end{pmatrix} + \begin{pmatrix} c_{1x} \\ c_{1y} \\ c_{1z} \end{pmatrix} u + \begin{pmatrix} c_{2x} \\ c_{2y} \\ c_{2z} \end{pmatrix} u^2 + \begin{pmatrix} c_{3x} \\ c_{3y} \\ c_{3z} \end{pmatrix} u^3$$

$$= \begin{pmatrix} | & | & | & | \\ c_0 & c_1 & c_2 & c_3 \\ | & | & | & | \end{pmatrix} \begin{pmatrix} | \\ u \\ u^2 \\ u^3 \end{pmatrix} = \underline{C} \underline{u}$$

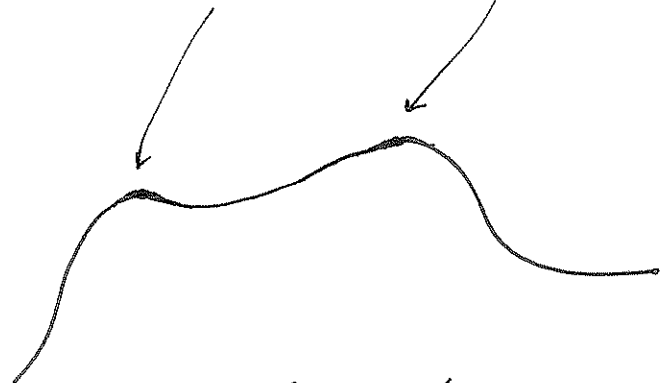
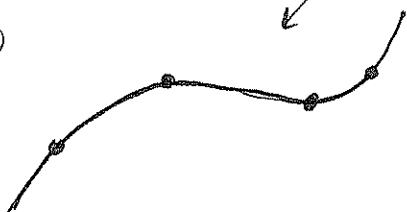
For each x, y, z , we have 4 unknowns.
 c_0, c_1, c_2, c_3

→ need 4 equations

- might have interpolating conditions ①

- " continuity conditions at join points.

①



- or conditions that curve pass close to data points.
 EACH type of condition defines different curve from same data

10.4 Interpolation

Cubic interpolating polynomial

- not necessarily commonly used, but illustrates principles.

(*)

4 control points: P_0, P_1, P_2, P_3

$$P_k = \begin{pmatrix} x_k \\ y_k \\ z_k \end{pmatrix}$$

Seek C , st. C_u passes through P_0, \dots, P_3 .
i.e. interpolates.
12 unknowns.

P_0, \dots, P_3 give 12 equations

Assume $P_0 = P_0(0)$, ~~$P_1 = P_1(\frac{1}{3})$~~ , $P_2 = P_2(\frac{2}{3})$, $P_3 = P_3(1)$
equally spaced.

Conditions:

$$P_0 = p(0) = C_0$$

$$P_1 = p\left(\frac{1}{3}\right) = C_0 + \frac{1}{3}C_1 + \left(\frac{1}{3}\right)^2 C_2 + \left(\frac{1}{3}\right)^3 C_3$$

$$P_2 = p\left(\frac{2}{3}\right) = C_0 + \frac{2}{3}C_1 + \left(\frac{2}{3}\right)^2 C_2 + \left(\frac{2}{3}\right)^3 C_3$$

$$P_3 = p(1) = C_0 + C_1 + C_2 + C_3$$

$$P = \begin{pmatrix} P_0 \\ P_1 \\ P_2 \\ P_3 \end{pmatrix}$$

For each x, y, z ,
 \Rightarrow

$$P = AC$$

Vandermonde matrix

$$A = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 1 & \frac{1}{3} & \frac{1}{3}^2 & \frac{1}{3}^3 \\ 1 & \frac{2}{3} & \frac{2}{3}^2 & \frac{2}{3}^3 \\ 1 & 1 & 1 & 1 \end{pmatrix}$$

$$C = \begin{pmatrix} C_0 \\ C_1 \\ C_2 \\ C_3 \end{pmatrix}$$

Or, together

$$\begin{pmatrix} p_{0x} & p_{0y} & p_{0z} \\ p_{1x} & p_{1y} & p_{1z} \\ p_{2x} & p_{2y} & p_{2z} \\ p_{3x} & p_{3y} & p_{3z} \end{pmatrix}$$

4 x 3

$$= A$$

4 x 4

$$\begin{pmatrix} c_{0x} & c_{0y} & c_{0z} \\ c_{1x} & c_{1y} & c_{1z} \\ c_{2x} & c_{2y} & c_{2z} \\ c_{3x} & c_{3y} & c_{3z} \end{pmatrix}$$

4 x 3

P

$$= A$$

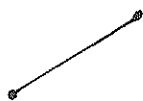
C

A is non-singular, and we can invert it

$$M_I = A^{-1}$$

"interpolating geometry matrix"

$$C = M_I P$$



2 points
unique
degree 1
poly. curve



3 points
unique
degree 2.

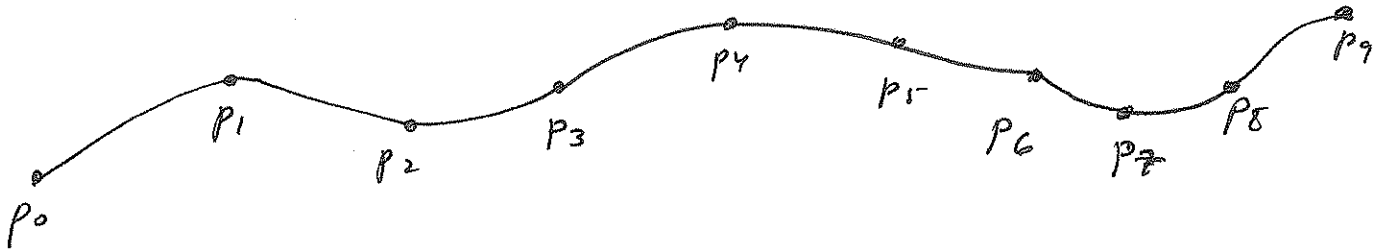


Rather than degree m polynomial, set of cubic polynomials

E.g.



Continue.

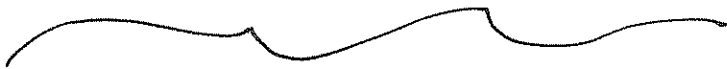


- First use P_0, \dots, P_3 to get one cubic.
- then use P_3, \dots, P_6 to get another cubic

⇒ Continuity at the join point.

Note: A and hence M_I is the same for each segment if we take $u=0, \frac{1}{3}, \frac{2}{3}, 1$ for each segment.

Problem: derivatives at the join points discrep.



← may get something like this.

10.4.1 Blending Functions

$$p(u) = \underline{u}^T \underline{c} \Rightarrow (1 \ u \ u^2 \ u^3) \begin{pmatrix} c_0 \\ c_1 \\ c_2 \\ c_3 \end{pmatrix}$$

$$p(u) = (x(u) \ y(u) \ z(u)) = (1 \ u \ u^2 \ u^3) \underbrace{\begin{pmatrix} c_{0x} & c_{0y} & c_{0z} \\ c_{1x} & c_{1y} & c_{1z} \\ c_{2x} & c_{2y} & c_{2z} \\ c_{3x} & c_{3y} & c_{3z} \end{pmatrix}}_C$$

Recall, we determined C as

$$C = M_I P, \text{ so substituting}$$

$$p(u) = u^T (M_I P)$$

$$p(u) = \underbrace{(u^T M_I)}_{\text{data-independent}} \underbrace{P}_{\text{depends on data}}$$

$$p(u) = b(u)^T P, \text{ where } \boxed{b(u) = M_I^T u}$$

blending
polynomials

$$b(u) = \begin{bmatrix} b_0(u) \\ b_1(u) \\ b_2(u) \\ b_3(u) \end{bmatrix} \leftarrow \text{each b.p. is a cubic}$$

$$\boxed{p(u) = \sum_{i=0}^3 b_i(u) p_i}$$

blend together effect of different control points.

Blending functions

Lagrange basis polynomials

$$b_0(u) = -\frac{9}{2} \left(u - \frac{1}{3}\right) \left(u - \frac{2}{3}\right) (u-1)$$

$$b_1(u) = \frac{27}{2} u \left(u - \frac{2}{3}\right) (u-1)$$

$$b_2(u) = -\frac{27}{2} u \left(u - \frac{1}{3}\right) (u-1)$$

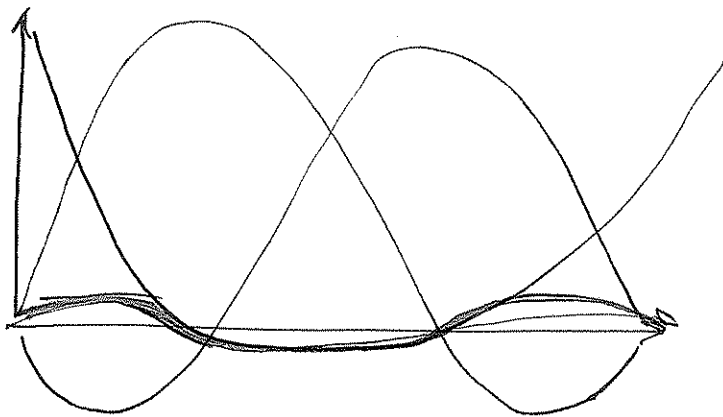
$$b_3(u) = \frac{9}{2} u \left(u - \frac{1}{3}\right) \left(u - \frac{2}{3}\right)$$

roots in
[0, 1]

Note :

$$\left\{ \begin{array}{l} b_0(0) = +\frac{9}{2} \left(+\frac{1}{3}\right) \left(+\frac{2}{3}\right) (+1) = \frac{18}{18} = 1 \quad \checkmark \\ b_1\left(\frac{1}{3}\right) = \frac{27}{2} \left(\frac{1}{3}\right) \left(+\frac{1}{3}\right) \left(+\frac{2}{3}\right) = \frac{27 \cdot 2}{2 \cdot 27} = 1 \quad \checkmark \\ b_2\left(\frac{2}{3}\right) = +\frac{27}{2} \frac{2}{3} \frac{1}{3} + \frac{1}{3} = \frac{27 \cdot 2}{2 \cdot 27} = 1 \quad \checkmark \\ b_3(1) = \frac{9}{2} 1 \left(+\frac{2}{3}\right) \left(\frac{1}{3}\right) = \frac{9 \cdot 2}{2 \cdot 9} = 1 \quad \checkmark \end{array} \right.$$

Can be derived this way...



blend polynomials
for interpolation



Problems :

- already quite oscillatory looking - worse for higher order
- kinks at join points.

10.4.2 Cubic Interpolating Patch.

natural extension of interpolating curve

example of separable surfaces
 $p(u,v) = f(u)g(v)$

Bicubic Surface Patch

$$p(u,v) = \sum_{i=0}^3 \sum_{j=0}^3 u^i v^j c_{ij}$$

tensor product surface.

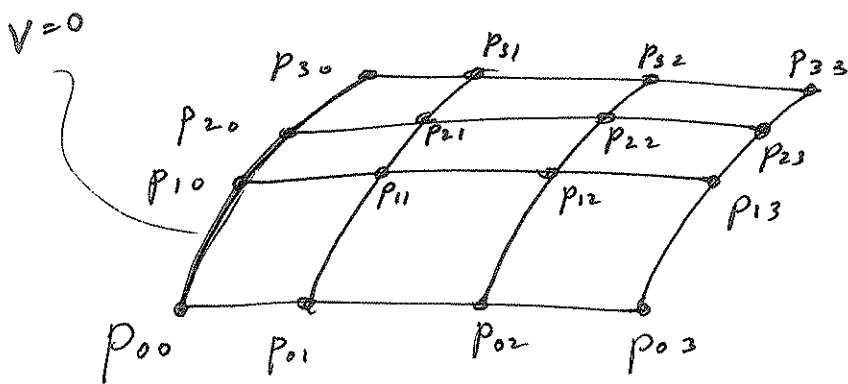
$$p(u,v) = \underline{u}^T C \underline{v}, \quad u = \begin{pmatrix} 1 \\ u \\ u^2 \\ u^3 \end{pmatrix}, \quad v = \begin{pmatrix} 1 \\ v \\ v^2 \\ v^3 \end{pmatrix}$$

C has $4 \times 4 = 16$ elements for each dimension (x, y, z)

for a total of ~~48~~ elements

16 control points.

have enough equations for unknowns



take

$$u = 0, \frac{1}{3}, \frac{2}{3}, 1$$

$$v = 0, \frac{1}{3}, \frac{2}{3}, 1$$

To solve, first consider $v=0$.

$$p(u,0) = \sum_{i=0}^3 \sum_{j=0}^3 u^i 0^j c_{ij} = \sum_{i=0}^3 u^i c_{i0}$$

Curve in u that interpolates $p_{00}, p_{10}, p_{20}, p_{30}$.

$$p(u, 0) = (u^T M_I) P_{:,0}$$

$$= u^T M_I \begin{bmatrix} p_{00} \\ p_{10} \\ p_{20} \\ p_{30} \end{bmatrix}$$

$$= u^T C \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}$$

Similarly for $p(u, \frac{1}{3})$, $p(u, \frac{2}{3})$, $p(u, 1)$

Write all 16 equations as.

$$u^T M_I P = u^T C A^T \quad A = M_I^{-1}$$

$$\Rightarrow \boxed{C = M_I P M_I^T}$$

$$p(u, v) = u^T (M_I P M_I^T) v$$

$$= u^T M_I P M_I^T v$$

$$= (M_I^T u)^T P (M_I^T v)$$

$$= b(u)^T P b(v)$$

$$= \sum_{i=0}^3 \sum_{j=0}^3 b_i(u) P_{ij} b_j(v)$$

$$b_i(u) b_j(v)$$

blending patch.

control points are weighed by blending patches.

Algebraic form:

$$p(u) = c_0 + c_1 u + c_2 u^2 + c_3 u^3 = \underline{u}^T C$$

Geometric form:

(interpolating cubic) $p(u) = F(u) p_0 + F(u) p_1 + F_2(u) p_2 + F_3(u) p_3$

$$p(u) = b(u)^T p$$

Coefficients from data

$$C = M_I p$$

~~Find~~ Interpolation

$$p(0) = c_0$$

$$p(1) = c_0 + c_1 + c_2 + c_3$$

$$p\left(\frac{1}{3}\right) = c_0 + \left(\frac{1}{3}\right)c_1 + \left(\frac{1}{3}\right)^2 c_2 + \left(\frac{1}{3}\right)^3 c_3$$

$$p\left(\frac{2}{3}\right) = c_0 + \left(\frac{2}{3}\right)c_1 + \left(\frac{2}{3}\right)^2 c_2 + \left(\frac{2}{3}\right)^3 c_3$$

$$\Rightarrow \begin{pmatrix} p_0 \\ p_1 \\ p_2 \\ p_3 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 1 & \frac{1}{3} & \left(\frac{1}{3}\right)^2 & \left(\frac{1}{3}\right)^3 \\ 1 & \frac{2}{3} & \left(\frac{2}{3}\right)^2 & \left(\frac{2}{3}\right)^3 \\ 1 & 1 & 1 & 1 \end{pmatrix} \begin{pmatrix} c_0 \\ c_1 \\ c_2 \\ c_3 \end{pmatrix}$$

$$p = A c$$

$$c = M_I p$$

$$M_I = A^{-1}$$

Algebraic

$$p(u) = u^T c$$

~~p~~ p in terms of coefficients

Geometric

$$p(u) = b(u)^T p$$

p in terms of data

$$M p = c$$

$$p(u) = u^T M p = (u^T M) p$$

$$b(u) = M^T u$$

$$(*) \quad p(u) = \begin{pmatrix} x(u) \\ y(u) \\ z(u) \end{pmatrix}$$

Cubic Interpolating Curves + Surfaces
(treating x, y, z separately).

$$x(u) = c_0 + c_1 u + c_2 u^2 + c_3 u^3 = \begin{pmatrix} 1 & u & u^2 & u^3 \end{pmatrix} \begin{pmatrix} c_0 \\ c_1 \\ c_2 \\ c_3 \end{pmatrix}$$

$$x(u) = u^T c$$

Interpolation of points P_0, P_1, P_2, P_3

$$(0, x_0), \left(\frac{1}{3}, x_1\right), \left(\frac{2}{3}, x_2\right), (1, x_3)$$

$$\underbrace{\begin{pmatrix} x_0 \\ x_1 \\ x_2 \\ x_3 \end{pmatrix}}_P = \underbrace{\begin{pmatrix} 1 & 0 & 0 & 0 \\ 1 & (\frac{1}{3}) & (\frac{1}{3})^2 & (\frac{1}{3})^3 \\ 1 & (\frac{2}{3}) & (\frac{2}{3})^2 & (\frac{2}{3})^3 \\ 1 & 1 & 1 & 1 \end{pmatrix}}_A \underbrace{\begin{pmatrix} c_0 \\ c_1 \\ c_2 \\ c_3 \end{pmatrix}}_c$$

4 equations
+
4 unknowns.

interpolating geometry matrix

$$\text{Let } M_I = A^{-1}$$

A is a
Vandermonde
matrix

Then

$$c = M_I P \quad \text{coefficients}$$

This approach corresponds to using a
monomial basis.

It is also possible to use a Lagrange basis

$$\chi(u) = b_0(u)x_0 + b_1(u)x_1 + b_2(u)x_2 + b_3(u)x_3$$

where

$$b_0(u) = \frac{(u - \frac{1}{3})(u - \frac{2}{3})(u - 1)}{(0 - \frac{1}{3})(0 - \frac{2}{3})(0 - 1)} = -\frac{9}{2}(u - \frac{1}{3})(u - \frac{2}{3})(u - 1)$$

$$b_1(u) = \frac{(u - 0)(u - \frac{2}{3})(u - 1)}{(\frac{1}{3} - 0)(\frac{1}{3} - \frac{2}{3})(\frac{1}{3} - 1)} = \frac{27}{2}u(u - \frac{2}{3})(u - 1)$$

$$b_2(u) = \frac{(u - 0)(u - \frac{1}{3})(u - 1)}{(\frac{2}{3} - 0)(\frac{2}{3} - \frac{1}{3})(\frac{2}{3} - 1)} = -\frac{27}{2}u(u - \frac{1}{3})(u - 1)$$

$$b_3(u) = \frac{(u - 0)(u - \frac{1}{3})(u - \frac{2}{3})}{(1 - 0)(1 - \frac{1}{3})(1 - \frac{2}{3})} = \frac{9}{2}u(u - \frac{1}{3})(u - \frac{2}{3})$$

$$x(u) = u^T c = u^T M_I \tilde{x}$$

$$= \underbrace{b(u)^T}_{\text{blending functions}} \cdot x$$

blending functions
(or Lagrange polynomials)

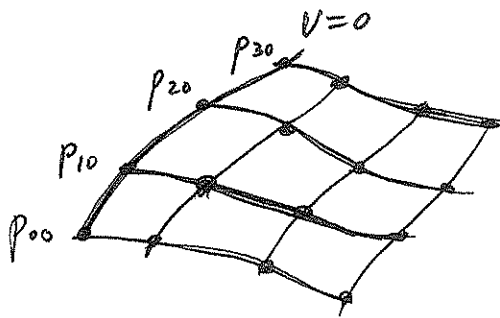
$$x(u) = b_0(u)x_0 + b_1(u)x_1 + b_2(u)x_2 + b_3(u)x_3$$

$$x(u, v) = \sum_{i=0}^3 \sum_{j=0}^3 u^i v^j c_{ij} = \tilde{u}^T C \tilde{v}$$

assume our data is given at $u = 0, \frac{1}{3}, \frac{2}{3}, 1$
 $v = 0, \frac{1}{3}, \frac{2}{3}, 1$

16 eqs., 16 unknowns
 16x16 linear system.

⇒ But each curve is also an interpolating cubic



e.g. $v = 0$

⇒ decouples into 4, 4x4 systems.

$$x(u, 0) = u^T M_I \begin{pmatrix} x_{00} \\ x_{10} \\ x_{20} \\ x_{30} \end{pmatrix}$$

$$= \sum_{i=0}^3 \sum_{j=0}^3 u^i (0)^j c_{ij} = \sum_{i=0}^3 u^i c_{i0} = u^T C \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

Similarly, $v = \frac{1}{3}$

$$x(u, \frac{1}{3}) = \sum_{i=0}^3 \sum_{j=0}^3 u^i \left(\frac{1}{3}\right)^j c_{ij} = u^T C \begin{pmatrix} 1 \\ \frac{1}{3} \\ \left(\frac{1}{3}\right)^2 \\ \left(\frac{1}{3}\right)^3 \end{pmatrix} = u^T C v\left(\frac{1}{3}\right)$$

$$u^T M_I X = u^T C A^T$$

$$M_I X = C A^T$$

$$\Rightarrow C = M_I X A^{-T} = M_I X M_I^T$$

$$\boxed{C = M_I X M_I^T}$$

$$x(u, v) = \underline{u}^T C \underline{v}$$

$$= (u^T M_I) X (M_I^T v)$$

$$= (M_I^T u)^T X (M_I^T v)$$

$$= b(u)^T X b(v)$$

$$= \sum_{i,j} b_i(u) X_{ij} b_j(v)$$

$b_i(u) b_j(v)$ Blending patch