

# Finding biclusters by random projections

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## What is a bicluster

- Given a matrix over a finite alphabet
- Remove some of the columns and some of the rows
- Each row of what is left read the same string (row-wise)



## Can you find the largest bicluster?

ABAACDADBBAABCDBBBCCABCBBAAABBBDCDDCBBCCAADAAB  
CCACBDABDCADBBDDBAABBBBACAAACABDDCCDADDBDDBD  
BBBBCCCDCCCDACDADABCABCACDADCBBDCDBACDDBBC  
CCBCBDCCBCCAABDCBABCDBBAAAAACDDDDCCDBDBADDBD  
CCCCBDACBCACDABCDCBBADAABCCDDDDCCBDCDBDBD  
CDCDABCACABDABACCDABCCCBACBACBBAADAAACACCBCC  
CCDCBDCBACBDCDDDBCCAABBCDABCCDDDDCCDCACDBD  
CCDCBDCCACBBBADDDBCADABBABDCDCADDDCCBDDDCBDB  
CCAACACACABDDCDBDACDDCDAADCCAAACBDBBBBBABDDA  
CCBCBDCCACACDDABADBCBBABBDCCADDDCCDCBCADBD



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## This is one ... is it the largest?

ABAAC**D**ADBBAABC**D**EBBCCA**B**CB**AA**BBBDC**D**DCBBCCAADAAB  
CCACE**D**ABDCADBB**D**BAAB**B**BAC**AA**ACAB**D**CCDADDBDDBD  
BBBBCCCDCCCDACDADABCABCACDADCBBDCDBACDDBBC  
CCBC**E**DDCCBCCAAB**D**CBABC**D**BBAA**AA**ACDD**D**CCDBDBADDBD  
CCCC**E**DCDACBCAC**D**ABCDCC**B**BAD**AA**BCCDD**D**CCBDCDBDBD  
CDCDABCACABDABACCDABCCCBACBACBBAADAAACACCBCC  
CCDCBDCBACBDCDDDBCCAABBCDABCCDDDDCCDCACDBD  
CCDCBDCCACBBBADDDBCADABBABDCDCADDDCCBDDDCBDB  
CCAACACACABDDCDBDACDDCDAADCCAAACBDBBBBBABDDA  
CCBCBDCCACACDDABADBCBBABBDCCADDDCCDCBCADBD

Area=4x8=32



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## One more time ...

ABAACDADBBAABCDBBBCCABCBBAAABBBDCDDCBCCAADAAB  
CCACBDABDCADBDDBAABBBBACAAACABDDCCDADDBDDBD  
BBBBCCDCDCCDADADABCABCACDADCBBDCDBACDDBBC  
CCBCBDCCBCCAABDCBABCDBBAAAAACDDDDCCDBDBADDBD  
CCCCBDCDACBCACDABCDCCBBADAABCCDDDDCCDBDCDBDBD  
CDCDABCACABDABACCDABCCCBACBACBBAADAAACACCBCC  
CCDCBDCBACDBDCDDDBCCAABBCDABCCDDDDCCDCACDBD  
CCDCBDCCACBBBADDDBCADABBABDCDCADDDCCDBDDDCDBD  
CCAACACACABDDCDBDACDDCDAADCCAAACDBDBBBBABDDA  
CCBCBDCCACACDDABADBCBBABBDDCCADDDCCDCBCADBD



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## Was this the one you found?

ABAACDADBBAABCDBBBCCABCBBAAABBBDCDDCBCCAADAAB  
CCACBDABDCADBDDBAABBBBACAAACABDDCCDADDBDDBD  
BBBBCCDCDCCDADADABCABCACDADCBBDCDBACDDBBC  
CCBCBDCCBCCAABDCBABCDBBAAAAACDDDDCCDBDBADDBD  
CCCCBDCDACBCACDABCDCCBBADAABCCDDDDCCDBDCDBDBD  
CDCDABCACABDABACCDABCCCBACBACBBAADAAACACCBCC  
CCDCBDCBACDBDCDDDBCCAABBCDABCCDDDDCCDCACDBD  
CCDCBDCCACBBBADDDBCADABBABDCDCADDDCCDBDDDCDBD  
CCAACACACABDDCDBDACDDCDAADCCAAACDBDBBBBABDDA  
CCBCBDCCACACDDABADBCBBABBDDCCADDDCCDCBCADBD

Area=6x20=120



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## The general problem

- Biclustering is the problem of finding a partition of the vectors and a subset of the dimensions such that the projections along those directions of the vectors in each cluster are close to one another
- The problem requires to cluster the vectors and the dimensions simultaneously, thus the name “biclustering”



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## Questions

- How difficult is the problem of finding large biclusters?
- How to find them efficiently?



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## Applications

- Collaborative filtering and recommender systems
- Finding web communities
- Discovery association rules in databases
- Gene expression analysis
- ...



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## Related works

- Hartigan, '72
- Aggarwal *et al.*, SIGMOD'99
- Cheng & Church, ISMB'00
- Wang *et al.*, SIGMOD'02
- Ben-Dor *et al.*, RECOMB'02
- Tanay *et al.*, ISMB'02
- Procopiuc *et al.*, SIGMOD'02
- Murali & Kasif, PSB'03
- Sheng *et al.*, ECCB'03
- Mishra *et al.*, COLT'03



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## Problem definition

### LARGEST\_BICLUSTER ( $f$ ) problem

- **Instance:** A matrix  $X \in \Sigma^{n \times m}$
- **Question:** Find a row selection  $R$  and a column selection  $C$  such that the rows of  $X_{(R,C)}$  read the same string and  $f(X_{(R,C)})$  is maximized



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## Examples of objective functions

$$f_1(X_{(R,C)}) = |R| + |C|$$

$$f_2(X_{(R,C)}) = |R| \text{ provided that } |C| = |R|$$

$$f_3(X_{(R,C)}) = |R||C|$$

$f_1$ : Maximum Vertex Biclique – polytime

$f_2$ : Balanced Biclique – hard

$f_3$ : Maximum Edge Biclique - hard



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## Randomized search

Assume  $X \in \Sigma^{n \times m}$  contains a maximal bicluster  $(R^*, C^*)$ . Assume we know  $|R^*| = r^*$  and  $|C^*| = c^*$ .

Observation:

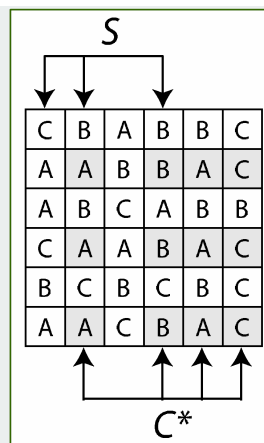
- If we knew  $R^*$ , then  $C^*$  could be obtained
- If we knew  $C^*$ , then  $R^*$  could be obtained



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## Randomized search (step 1)

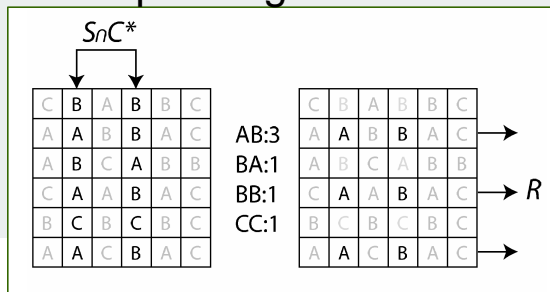
Select a random subset  $S$  of size  $k$  uniformly from the set of columns  $\{1, 2, \dots, m\}$



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## Randomized search (step 2)

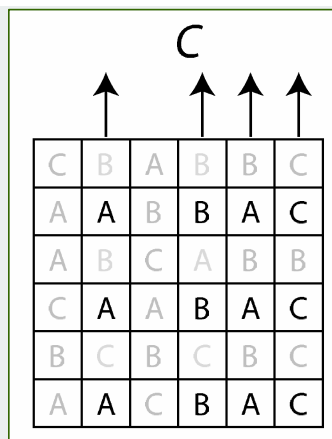
For all the subset of  $S$ , find the string  $w$  that appears at least  $\hat{r}$  times in each subset of  $S$  and record the corresponding rows  $R$  in which  $w$  occurs



## Randomized search (step 3)

Select the set of clean columns  $C$  with size at least  $\hat{c}$  corresponding to each  $R$

- A column  $j$  is *clean* with respect to  $R$  if the symbols in the  $j$ -th column of  $X$  restricted to the rows  $R$ , are identical





## Randomized search (step 4)

Save the solutions and repeat step 1 to 4 for  $t$  iterations



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## Parameters

- Projection size  $k$  ( $k_{min}$ )
- Column threshold  $\hat{c}$
- Row threshold  $\hat{r}$
- Number of iterations  $t$



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## Selecting the projection size $k$

- Occurrences of substrings are
  - Gaussian distributed for strings shorter than  $\log_a m$
  - Poisson distributed for strings longer than  $\log_a m$where  $a = |\Sigma|$
- Choose  $k = \log_a m$
- Choose  $k_{min} = 1$  or  $k_{min} = k$



## Selecting the number of iterations $t$

- We can miss a solution in two cases
  - $S$  completely misses  $C^*$
  - when  $S$  overlaps  $C^*$ , and the string  $w$  selected by the algorithm also appears in a row outside  $R^*$



## Selecting the number of iterations $t$

- The probability of missing the solution in **one** iteration is

$$\begin{aligned}\alpha(n, m, k, r^*, c^*, a) &= \Pr\{S \cap C^* = \emptyset\} + \sum_{i=1}^k \Pr\{|S \cap C^*| = i \text{ and } |R| > r^*\} \\ &= \Pr\{S \cap C^* = \emptyset\} + \sum_{i=1}^k \Pr\{|R| > r^* \text{ given } |S \cap C^*| = i\} \Pr\{|S \cap C^*| = i\}\end{aligned}$$

which is

$$\alpha(n, m, k, r^*, c^*, a) = \left( \binom{m - c^*}{k} + \sum_{i=1}^k \left( 1 - \left( 1 - \frac{1}{a^i} \right)^{n - r^*} \right) \binom{c^*}{i} \binom{m - c^*}{k - i} \right) / \binom{m}{k}$$



## Selecting the number of iterations $t$

- Given the probability of missing the solution in  $t$  iterations to be smaller than  $\epsilon$

$$t \geq \frac{\log \epsilon}{\log \alpha(n, m, k, r^*, c^*, a)}$$



## Selecting the number of iterations $t$

$\epsilon$	$a = 2, k = 8$	$a = 4, k = 4$	$a = 8, k = 3$	$a = 16, k = 2$	$a = 32, k = 2$
0.005	18794	1342	306	179	99
0.05	10626	759	173	101	56
0.1	8168	583	133	78	43
0.2	5709	408	93	54	30
0.3	4271	305	70	41	23
0.4	3250	232	53	31	17
0.5	2459	176	40	23	13
0.6	1812	129	29	17	10
0.7	1265	90	21	12	7
0.8	792	57	13	8	4
0.9	374	27	6	4	2

Table 1: The estimated number of iterations for a matrix  $256 \times 256$  with a submatrix  $64 \times 64$ , for different choices of  $\epsilon$ , alphabet size  $a$ , and projection size  $k$  (sampling columns)



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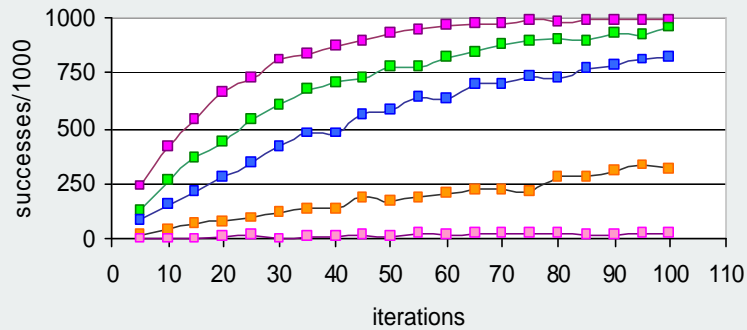
## Simulations

- Generate 1,000 random matrices of size  $256 \times 256$  over an alphabet of size  $a$
- In each, embed a bicluster of size  $64 \times 64$  (random content, random positions)
- Run the algorithm for  $t$  iterations ( $t=5, 10, \dots, 100$ ) and compute how many successes out of 1,000



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## Simulation result (column sampling)



—■ a = 32, k = 2 —■ a = 16, k = 2 —■ a = 8, k = 3 —■ a = 4, k = 4 —■ a = 2, k = 8

Performance of the randomized algorithm for different choices of the alphabet size  $a$  ( $k_{min} = 1, k = \log_a m$ )

## Findings

- Simple and fast randomized algorithm to find large biclusters in text matrices
- Probabilistic analysis of performance
- Simulations
  
- Next: approximate biclusters?

