Repeats in strings

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- *** Repeat:** 1 < exponent < 2

$$\frac{\text{length} = 15}{\text{a b a a b c c c c c a b a a b}}$$

$$\overrightarrow{\text{period} = 10}$$

$$exponent = \frac{\text{length}}{\text{period}} = \frac{15}{10} = 1.5$$

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abaab ccccc abaab = $(abaabccccc)^{15/10}$ restore = $(resto)^{7/5}$ all in all = $(all in)^{10/7}$

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***** Palindrome

abaab baaba

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***** Palindrome

abaab baaba CCAGA UUAAGGU UCUGG







Motivations

***** Pattern matching algorithms

String Matching, Time-space optimal String Matching: local and global periods, Indexing

***** Combinatorics on words

Avoidability of repetitions, Interaction between periods, Counting repetitions

***** Text Compression

Generalised run-length encoding, Dictionary-based compression

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***** Analysis of biological molecular sequences

Intensive study of satellites, Simple Sequence Repeats, or Tandem
Repeats in DNA sequences, Molecular structure prediction, Phylogenies

***** Analysis of music

Rhythm detection, Chorus location

Huntington's Disease mRNA in EMBL

```
ID
     L12392; SV 1; linear; mRNA; STD; HUM; 10348 BP.
. . .
     Homo sapiens Huntington's Disease (HD) mRNA, complete cds.
DE
XX
KW
     trinucleotide repeat.
XX
OS
     Homo sapiens (human)
OC
     Eukaryota; Metazoa; Chordata; Craniata; Vertebrata; Euteleostomi; Mammalia;
OC
     Eutheria; Euarchontoglires; Primates; Haplorrhini; Catarrhini; Hominidae;
OC
     Homo.
XX
RN
     [1]
     1-10348
RP
RX
     PUBMED; 8458085.
RA
     MacDonald M., Ambrose C.M.;
RT
     "A novel gene containing a trinucleotide repeat that is expanded and
     unstable on Huntington's disease chromosomes. The Huntington's Disease
RT
RT
     Collaborative Research Group [see comments]";
R.L.
     Cell 72(6):971-983(1993).
. . .
```

11

atatcagtaa agagattaat tttaacgt

10348

| FT | FQSVLEVVAAPGSPYHRLLTCLRNVHKVTTC" | |
|--------|--|-----|
| FT | polyA_site 10348 | |
| FT | /gene="HD" | |
| XX | | |
| SQ | Sequence 10348 BP; 2408 A; 2807 C; 2744 G; 2389 T; 0 other; | |
| | ttgctgtgtg aggcagaacc tgcggggggca ggggcgggct ggttccctgg ccagccattg | 60 |
| | gcagagtccg caggctaggg ctgtcaatca tgctggccgg cgtggccccg cctccgccgg | 120 |
| | cgcggccccg cctccgccgg cgcacgtctg ggacgcaagg cgccgtgggg gctgccggga | 180 |
| | cgggtccaag atggacggcc gctcaggttc tgcttttacc tgcggcccag agccccattc | 240 |
| | attgccccgg tgctgagcgg cgccgcgagt cggcccgagg cctccgggga ctgccgtgcc | 300 |
| | gggcgggaga ccgccATGgc gaccctggaa aagctgatga aggccttcga gtccctcaag | 360 |
| | tccttcCAGC AGCAGCAGCA GCAGCAGCAG CAGCAGCAGC AGCAGCAGCA GCAGCAGCAG | 420 |
| | CAGCAGCAGC AACAGccgcc accgccgccg ccgccgccgc cgcctcctca gcttcctcag | 480 |
| | ccgccgccgc aggcacagcc gctgctgcct cagccgcagc cgcccccgcc gccgccccg | 540 |
| | | |

/protein_id="AAB38240.1" FT FΤ FT PPPPPQLPQPPPQAQPLLPQPQPPPPPPPPPPGPAVAEEPLHRPKKELSATKKDRVNH

CDS 316..9750 FT

. . .

. . .

Polyglutamine repetition

Avoiding repetitions

 * Theorem 1 ([Thue, 1906, 1912])
 There are infinite binary strings with no overlap (that is, no repetition of exponent > 2).
 There are infinite ternary strings with no square.

Avoiding repetitions

- * Theorem 2 ([Thue, 1906, 1912])
 There are infinite binary strings with no overlap (that is, no repetition of exponent > 2).
 There are infinite ternary strings with no square.
- ***** Iterated morphisms
 - no overlap in t:

$$\begin{cases} t(0) = 01, \\ t(1) = 10. \end{cases}$$

- no square in f:

$$egin{array}{l} f(\mathtt{a}) = \mathtt{a}\mathtt{b}\mathtt{c}, \ f(\mathtt{b}) = \mathtt{a}\mathtt{c}, \ f(\mathtt{c}) = \mathtt{b}. \end{array}$$

 $\mathbf{f}=f^\infty(\mathbf{a})=\texttt{abcacbabcbacabcacbacabcb}$.

Dejean's framework

- ***** Repetitive threshold
 - RT(a) = minimal rational r for which there exists an infiniteword on a letters whose maximal exponent of factors is r
- ***** Theorem 3

$$\begin{array}{l} \operatorname{RT}(2) &= 2 \\ \operatorname{RT}(3) &= 7/4 \\ \operatorname{RT}(4) &= 7/5 \\ \operatorname{RT}(k) &= k/(k-1) \end{array} \end{array}$$

***** Multi-author proof:

[Thue, 1906], [Dejean, 1972], [Pansiot, 1984], [Moulin-Ollagnier, 1992], [Carpi, 2007], [Rao, 2009], [Currie, Rampersad, 2009] How many squares in a word?

★ Proposition 1 ([Fraenkel, Simpson, 1998])
 No more than 2n primitively-rooted squares.



largest position of u^2 , v^2 , and w^2 in y? impossible!

How many squares in a word?

★ Proposition 2 ([Fraenkel, Simpson, 1998])
 No more than 2n primitively-rooted squares.



- * Direct proofs [Hickerson, 2004], [Ilie, 2005]
- * Best bounds: $2n \Theta(\log n)$ [Ilie, 2005], $\frac{95}{48}n$ [Lam, 2013], $\frac{11}{6}n$ [Deza, Franck, Thierry, 2014]
- * Computation in time $O(n \log a)$ [Gusfield, Stoye, 1999]
- ★ Proposition 3 ([C., 1981], [Gusfield, Stoye, 1999])
 Maximal number of occurrences of primitively-rooted
 squares : cn log n. Attained by Fibonacci words.

How few squares in a word?

- * Proposition 4 ([Fraenkel, Simpson, 1995]) There is an infinite binary word containing only 3 squares, 2 cubes, and no other repetition of exponent ≥ 2 .
- * Several other proofs: [Rampersad, Shallit, Wang, 2005], [Harju, Nowotka, 2006], [Badkobeh, C., 2010]

How few squares in a word?

- * Proposition 5 ([Fraenkel, Simpson, 1995]) There is an infinite binary word containing only 3 squares, 2 cubes, and no other repetition of exponent ≥ 2 .
- * Several other proofs: [Rampersad, Shallit, Wang, 2005], [Harju, Nowotka, 2006], [Badkobeh, C., 2010]
- *** Morphism** h_0 :

 $\begin{cases} h_0(\mathbf{a}) = \texttt{01001110001101}, \\ h_0(\mathbf{b}) = \texttt{0011}, \\ h_0(\mathbf{c}) = \texttt{000111}. \end{cases}$

 $\mathbf{h_0} = h_0(f^\infty(\mathbf{a}))$ contains:

- the 3 squares 00, 11, 1010
- the 2 cubes 000 and 111
- no other repetition of exponent ≥ 2

How few squares in a repetition-constrained word?

 ★ Theorem 4 ([Karhumäki, Shallit, 2004], [Shallit, 2008]) There is an infinite binary word avoiding 7/3⁺-powers with finitely many squares. 7/3 is the smallest such exponent.

How few squares in a repetition-constrained word?

- ★ Theorem 5 ([Karhumäki, Shallit, 2004], [Shallit, 2008]) There is an infinite binary word avoiding 7/3⁺-powers with finitely many squares. 7/3 is the smallest such exponent.
- * Theorem 6 ([Badkobeh, C., 2010])
 - ... with 12 squares, the fewest possible.
 - $\begin{cases} g(a) = abac, \\ g(b) = babd, \\ g(c) = eabdf, \\ g(d) = fbace, \\ g(e) = bace, \\ g(f) = abdf. \end{cases} \begin{array}{l} h(a) = 10011, \\ h(b) = 01100, \\ h(c) = 01001, \\ h(d) = 10110, \\ h(e) = 0110, \\ h(f) = 1001. \end{cases}$
- ★ $\mathbf{h} = h(g^{\infty}(\mathbf{a}))$ contains:
 - 12 squares, 2 7/3-powers (0110110 and 1001001)
 - no other repetition of exponent ≥ 2

Finite-Repetition Threshold

 ★ Finite-Repetition Thresholds for the binary alphabet [Badkobeh, 2010]

| Maximal | Allowed number | Minimum number |
|---------------------|----------------|----------------|
| exponent e | of e -powers | of squares |
| 7/3 | 2 | 12 |
| | 1 | 14 |
| 5/2 | 2 | 8 |
| | 1 | 11 |
| 3 | 2 | 3 |
| | 1 | 4 |

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* ... for three letters: FRt(3) = RT(3) = 7/4 with 2 7/4-powers

- * ... for four letters: FRt(4) = RT(4) = 7/5 with 2 7/5-powers
- * ... for five letters: FRt(5) = RT(5) = 5/4 with 60 5/4-powers
- * and $FRt(k) = RT(k), k \ge 6$ [Badkobeh, C., Rao, 2013]

Runs

* **Repetition** = periodic string = power: exponent ≥ 2

abaab abaab abaab ab $=(abaab)^{17/5}$

 \star Run = maximal periodicity = maximal occurrence of a repetition

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abaab abaab abaab ab $=(abaab)^{17/5}$

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..cfabaababaababaabababgk..



* Notion introduced by [Iliopoulos, Moore, Smyth, 1997]





How many runs in a string?

- \star Useful for any algorithm dealing with repetitions in string
- ★ Word of length 18 with 10 runs

* Theorem 7 ([Kolpakov, Kucherov, 1999]) There is no more than a linear number of runs in a string.

How many runs in a string?

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- ★ Word of length 18 with 10 runs

* Theorem 8 ([Kolpakov, Kucherov, 1999]) There is no more than a linear number of runs in a string.

Conjecture 1 (Kolpakov, Kucherov, 1999) A string contains less runs than its length

***** In binary strings:

 n
 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19

 runs(n)
 2 3 4 5 5 6 7 8 8 10 10 11 12 13 14

 n
 20 21 22 23 24 25 26 27 28 29 30 31

 runs(n)
 15 15 16 17 18 19 20 21 22 23 24 25

Known bounds on runs

- ***** Upper bounds
 - **5***n* [Rytter, 2006]
 - 3.44*n* [Rytter, 2007][Puglisi, Simpson, Smyth, 2007]
 - **1.6***n* [C., Ilie, 2007]
 - with computer verification
 - 1.29*n* for binary strings [Giraud, 2009]
 - 1.029*n* [C., Ilie, Tinta, 2008]

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- ***** Lower bounds
 - $-\frac{3}{1+\sqrt{5}}n \approx 0.927n$ [Franck, Simpson, Smyth, 2003]
 - 0.94457564n

[Kusano, Matsubara, Ishino, Bannai, Shinohara, 2008]

- 0.944575712*n* [Simpson, 2009]



- ***** Maximal periodicities of exponent ≥ 3
- **\star Upper bound:** 0.5*n*. Lower bound: 0.406*n*

How many cubic runs in a string?

- ***** Maximal periodicities of exponent ≥ 3
- **\star Upper bound:** 0.5*n*. Lower bound: 0.406*n*
- ★ # occurrences of primitively-rooted cubes can be $\Omega(n \log n)$
- ***** No obvious relation with the number of (distinct) cubes:

baaacaaadaaaeaaaf..
$$\begin{cases} 1 & \text{cube} \\ n/4 & \text{runs} \end{cases}$$

abbaabbaabbaabba $\begin{cases} n/4 & \text{cubes} \\ 1 & \text{run} \end{cases}$










- \star the two (inter-)positions are associated with only one run
- ★ thus: no more than (n-1)/2 runs with exponent ≥ 3

Latest news on bounds on runs

- ***** Upper bounds
 - **5***n* [Rytter, 2006]
 - 3.44*n* [Rytter, 2007][Puglisi, Simpson, Smyth, 2007]
 - **1.6***n* [C., Ilie, 2007]
 - -1.5n

[Bannai, I, Inenaga, Nakashima, Takeda, Tsuruta, 2014]

with computer verification

- 1.29*n* for binary strings [Giraud, 2008]
- 1.029*n* [C., Ilie, Tinta, 2008]



\star 8 Runs in abaababbababb

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
|---------|---------|-----------|------|---|---|---|---|---|---|----|----|------|
| a | b | a | a | b | a | b | b | a | b | a | b | b |
| • • • • | • • • • | • • • • • | •••• | | | | | | | | | •••• |



Lyndon roots

0 1 2 3 4 5 6 7 8 9 10 11 12 a b a a b a b b a b a b b a b b

***** Their L-roots (a < b)

| •••• | •••• | | | | | •••• | | | | ••••• | ••••• | |
|------|------|---|---|---|----------|------|---|---|---|-------|-------|----|
| a | b | a | a | b | a | b | b | a | b | a | b | b |
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |

Lyndon roots

0 1 2 3 4 5 6 7 8 9 10 11 12 a b a a b a b b a b a b b

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| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
|------|------|---|---|---------|---|---|---|---|---|----|----|------|
| a | b | a | a | b | a | b | b | a | b | a | b | b |
| •••• | •••• | • | | • • • • | | | | | | | | •••• |

* L-roots in Lyndon word #abaababbabbabbb (# < a < b)



***** Standard factorisation:

any Lyndon word x is a letter or uniquely factorises into uvwhere u, v are Lyndon words and u < v

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- $\star\,$ Leads to the Lyndon tree of a Lyndon word



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- $\star \ \ldots$ but some L-roots do not correspond to any internal node



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Use of Lyndon trees

- ★ Lyndon trees show: no more than 2.5n runs
 (n internal nodes in each tree and no more than 0.5n runs of period 1)
- ★ Theorem 9 ([Bannai et al., 2014])
 No more than 1.5 n runs in a string of length n.
- ***** On integer alphabet:
 - Lyndon tree constr. in linear time
 (Lyndon tree = Cartesian tree of ISA)
 - Constant time to check if an internal node corresponds to an L-root (with LCE and RMQ)
- \star Lyndon trees provide a new algorithm for locating runs

New conjecture

Conjecture 2 Each string interval contains no more Lyndon roots than its length.

 \star L-roots in abaababbababb



★ Properties of full words? Their lengths? factors accepting as many L-roots as their length

Computing repetitions in strings

***** Computing runs

 $O(n \log n)$ optimal time in the $\{=, \neq\}$ -comparison model [C., Kociumaka, Rytter, Toopsuwan, Tyczyński, Waleń, 2012] $O(n \log a)$ time [Kolpakov, Kucherov, 1999] O(n) on int. alph. [C., Ilie, 2008], [Bannai et al., 2014]

***** Computing local periods

 $O(n \log n)$ optimal time in the $\{=, \neq\}$ -comparison model $O(n \log a)$ time [Duval, Kolpakov, Kucherov, Lecroq, Lefebvre, 2004]

* Computing maximal-exponent factors $O(n \log a)$ time [Badkobeh, C., Toopsuwan, 2012]

Local periods

- ★ |w| is a local period of uv at position |u| if $w \neq \varepsilon$ and:
 - of u and w one is a suffix of the other
 - of v and w one is a prefix of the other

LP(|u|) =smallest local period

- a b a bb aa b a b b aa b a b ab b abbb ab ab b a b ab b a b a
- \star Local periods of ababba

| position i | 0 | 1 | 2 | 3 | 4 | 5 | 6 |
|------------------|---|---|---|---|---|---|---|
| y[i] | a | b | a | b | b | a | |
| $\mathrm{LP}[i]$ | 1 | 2 | 2 | 5 | 1 | 3 | 1 |

Divide and conquer

\star String y = uv



★ LP[i] = local period at position i:

- initialised with the (global) period of $y \ldots$
- $-\ldots$ and at the ends of y
- updated each time i is in the middle of a run
- ★ Attention: avoid non-primitive roots and several detections of the same run
- ★ $O(n \log n)$ occurrences of primitively-rooted squares $\implies O(n \log n)$ time



* Computing runs having a full period in v, for each period length p



★ Maximal length r of common prefixes between v and v[p . . |v| - 1]: Prefixes_v[p]



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- *** Linear-time precomputation of the two** Prefixes **tables**



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- ★ Run of period p if $l + r \ge p$ Constant time for each p and total linear time

Computing runs

- $\star~\mathbf{In}~O(n\log n)$ time with previous technique
- ★ Optimal in the {=, ≠}-model optimality is a consequence of [Main, Lorentz, 1979]
- $\star~\mathbf{In}~O(n\log a)$ time based on
 - modified Main's algorithm
 - f-factorisation (kind of Ziv-Lempel factorisation)
 - linear upper bound on the number of runs

[Kolpakov, Kucherov, 1998]

- $\star\,$ f-factorisation is the bottleneck
- ★ Linear-time solution on integer alphabet [C., Ilie, 2007]

Remembering the Past



"Who so neglects learning in his youth, loses the past and is dead for the future." Euripides (484 BC - 406 BC)

f-factorisation

- ★ Phrase = longest factor occurring before (LPF)
- **\star** Example of y = abaabababaababb

f-factorisation

- \star Phrase = longest factor occurring before (LPF)
- **\star** Example of y = abaabababaababb

* LZ77 [Ziv, Lempel, 1977] phrases are carefully encoded as

(distance to previous position, length)

- * Very efficient: many variants implemented in compress, gzip, PKzip, rzm, lzturbo, etc.
- * Computation in time $O(n \log a)$ (a = alphabet size)

Storing the Past: LPF table

***** Longest Previous Factor table

Storing the Past: LPF table

***** Longest Previous Factor table

- ★ Useful for optimising compression, computing repetitions, etc.
- * Same notion in [McCreight, 1976] and [Franek, Holub, Smyth, Xiao, 2003]
- ***** Linear-time computation with a Suffix Array

LPF from Suffix Array

- *** Integer alphabet:** sorting letters can be done in linear time
- ***** Suffix Array construction: suffix sorting + LCP
 - Linear-time suffix sorting by
 [Kärkkäinen, Sanders, 2003], [Ko, Aluru, 2003]
 [Kim, Sim, Park, Park, 2003], [Nong, Zhang, Chan, 2009]
 - Linear-time computation of LCP table by [Kasai, Lee, Arimura, Arikawa, Park, 2001]

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- ***** Computation of LPF table
 - total linear time + constant space
 - Possible fast implementation with permuted-LCP [Kärkkäinen, Manzini, Puglisi, 2009]
 - several variants (LPnF, LPrF)
 [C., Ilie, 2007], [C., Tischler, 2009], [Chairungsee, C., 2009], [C., Iliopoulos, Kubica, Rytter, Waleń, 2012],
 [C., Ilie, Iliopoulos, Kubica, Rytter, Waleń, 2013]

Maximal-Exponent Factors

- * Overlap-free string y of length n on a fixed alphabet Maximal exponent of all factors of y?
- ***** MEF: maximal-exponent factor occurring in y



* Related to Maximal Pairs

[Gusfield, 1997], [Brodal et al., 1999],
to Return words [Vuillon, 2001],
and to Closed words
[Fici, 2011], [Badkobeh, Fici, Lipták, 2013]

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 [Fici, 2011], [Badkobeh, Fici, Lipták, 2013]
- ***** Locating MEF occurrences in an overlap-free string?

Theorem 10 ([Badkobeh, C., Toopsuwan, 2012]) All the occurrences of maximal-exponent factors in an overlap-free string over a fixed alphabet can be listed in linear time.

Maximal exponent of factors of a word

- * y overlap-free \implies maximal exponent ≤ 2
- \star MEF: factor of the form uvu
- ***** Naive computation in $O(n^4)$
- * Use of the f-factorisation of y: $z_1 z_2 \dots z_\ell$



Maximal exponent of factors of a word

- ★ y overlap-free \implies maximal exponent ≤ 2
- \star MEF: factor of the form uvu
- **\star** Naive computation in $O(n^4)$
- * Use of the f-factorisation of y: $z_1 z_2 \dots z_\ell$



- $\mathbf{RT}(a) \Longrightarrow$ search for left occurrence of u in a bounded context. Essential use of the Suffix Automaton of $z_{i-1}z_i$
- overall time: $O(n \log a)$ [Badkobeh, C., Toopsuwan, 2012]
Counting MEF occurrences



★ δ -MEF: MEF whose border length satisfies $3\delta \leq b < 4\delta$.

- ***** then no more than one δ -MEF occ. in each δ interval
- * with $\Delta = \{1/3, 2/3, 1, 3/4, (3/4)^2, \ldots\}$ #MEF-occ $\leq \sum_{\delta \in \Delta} \frac{n}{\delta} = n\left(3 + \frac{3}{2} + 1 + \frac{3}{4} + \left(\frac{3}{4}\right)^2 + \ldots\right) < 8.5 n$

* Consequence: linear computation of all MEF occurrences Theorem 11 Less than 2.25 n occurrences of MEFs in a string of length n. There can be $2n/3 - \epsilon$ occurrences.



***** Approximation:

k =smallest number of changes to get a consensus period

| | | period | |
|-----|----------------------------|---------|-------|
| x y | cag ctg cag | cag aag | аа ху |
| x y | cag c <mark>a</mark> g cag | cag cag | са ху |

***** Approximation:

k =smallest number of changes to get a consensus period

| | | period | | |
|-----|----------------------------|---------|-------|--|
| x y | cag ctg cag | cag aag | аа ху | |
| х у | cag c <mark>a</mark> g cag | cag cag | саху | |

 * Several other notions based on mismatches between potential periods and leading to different algorithms
 [Sim, Iliopoulos, Park, Smyth, 1999], [Landau, Schmidt, Sokol, 2001], [Kolpakov, Kucherov, 2003]

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| | | period | | | | | |
|-----|-------|--------|-----|-----|-------|-----|----|
| x y | c a g | c t g | cag | cag | a a g | a a | ху |
| x y | cag | c a g | cag | cag | c a g | c a | ху |

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 [Sim, Iliopoulos, Park, Smyth, 1999], [Landau, Schmidt, Sokol, 2001], [Kolpakov, Kucherov, 2003]
- ***** k-MAR: maximal occ. of approx. runs with $\leq k$ changes
- * Solutions in [Amit, C., Landau, 2013]: using Parikh vectors: $O(n^2)$ time kangaroo jumps with Suffix Tree LCA queries + tuning: $O(nk^2 \log \frac{n}{k} \log k)$ time

Conclusion and open questions

- ★ Computing runs and local periods: $O(n \log n)$ optimal time in the $\{=, \neq\}$ -comparison model linear-time on an integer alphabet
- ★ Computing MEF occurrences, gapped palindromes: linear-time on a fixed alphabet

Conclusion and open questions

- ★ Computing runs and local periods: $O(n \log n)$ optimal time in the $\{=, \neq\}$ -comparison model linear-time on an integer alphabet
- ★ Computing MEF occurrences, gapped palindromes: linear-time on a fixed alphabet
- ★ Q: conjectures: number of runs, of squares. Less than n?
 Q: maximal number of MEF occurrences? Less than n?
- *** Q**: computing MEF occurrences on integer alphabet?
- ★ Q: faster *k*-MAR computation?
- * Q: is 2 the actual threshold exponent?
 Q: any other threshold?

Note: no more than $\frac{1}{\epsilon}n \ln n$ maximal periodicities of exponent more than $1 + \epsilon$ [Kolpakov, Kucherov, Ochem, 2010]

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