# An Improved Algorithm for the Macro-evolutionary Phylogeny Problem

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CPM 2006, Barcelona

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#### Gene trees, species trees and gene duplications





• Topology of gene trees and species trees are usually different.

- The evolutionary history of a gene family should be determined by:
  - micro-evolutionary events (sequence evolution)
  - macro-evolutionary events (gene duplication and loss)

• Tree Reconciliation Algorithm (Page 1994)

### Durand et al. (RECOMB2005)

• A Hybrid Micro-Macroevolutionary Approach to Gene Tree Reconstruction

#### Two phase approach:

**Phase 1:** A gene tree based only on micro-evolutionary model is constructed.

**Phase 2:** Refining the tree w.r.t. a macroevolutionary model.

- regions with strong sequence support are left intact.
- other regions are rearranged w.r.t to the **D/L score**.

**D/L score:**  $c_{\lambda}L + c_{\delta}D$ , the weighted sum of the number of duplications, D, and the number of of losses, L, in the tree.



• Input: A rooted species tree,  $T_S$  with s leaves; a list of multiplicities  $m_1, ..., m_s$ , where  $m_l$  is the number of gene family members found in species l; weights  $c_\lambda$  and  $c_\delta$ .

• **Output:** The set of all rooted gene trees  $\{T_G\}$  with  $\sum_{l=1}^{s} m_l$  leaves such that D/L Score of  $T_G$  is minimal.

#### The Macro-Evolutionary Phylogeny Problem

- The output can be represented only by annotation of the species tree by the number of gene copies in different nodes.
- each duplication increases the number of gene copies by one.
- each loss decreases the number of the gene copies by one.
- the entering number of genes in root should be one.



- if i < j then j i duplications
- if i = j then speciation
- if i > j then i j losses

#### Minimal D/L score history



• a) species tree

- b) a history with one duplication and one loss
- c) a history with two duplications.

- Durand et al. (Recomb 2005)
  - Dynamic Programming for filling a table COST[i, j, v]
  - Finding the optimal solution using this table COST.

- This work
  - Dynamic Programming with a reduced dimension
  - Using combinatorial properties of optimal generation function g(x,T).

 g(x, T) the minimum D/L score of T where its root has x entering copies of genes.



$$g(x, \mathcal{T}) = \min \begin{cases} g(x + 1, \mathcal{T}) + c_0 & \text{(Loss)} \\ g(x - 1, \mathcal{T}) + c_\lambda & \text{(Loss)} \\ g(x, \mathcal{T}_L) + g(x, \mathcal{T}_R) & \text{(Speciation)} \end{cases}$$

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g(x,T) is convex;  $\Delta g(x,T) = g(x,T) - g(x-1,T)$  is increasing. for very large x,  $\Delta g(x,T) = c_{\lambda}$  and for very small x and  $\Delta g(x,T) = -c_{\delta}$ .



- a) Interval 3 is the optimal interval of g(x, T).
- b) Interval 3 is the optimal interval of g(x, T).
- c) The optimal interval of g(x, T) is included in 3.



- In interval (2,3,4),  $g(x, T) = g(x, T_L) + g(x, T_R)$ .
- In interval (1),  $g(x, \mathcal{T}) = \min\{g(x, \mathcal{T}_L) + g(x, \mathcal{T}_R), g(x+1, \mathcal{T}) + c_\delta\}$
- In interval (5),  $g(x, \mathcal{T}) = \min\{g(x, \mathcal{T}_L) + g(x, \mathcal{T}_R), g(x 1, \mathcal{T}) + c_\lambda\}$

Algorithm

Gencost(Tree T)

1. if T is a leaf then 1.1 for  $i \leftarrow 1$  to m do 1.1.1 if  $i \ge label(T)$  then  $g[i,T] \leftarrow (i-label(T)) \times c_{\lambda}$ 1.1.2 if i < label(T) then  $g[i,T] \leftarrow (label(T)-i) \times c_{\delta}$ 1.2 exit 2. GenCost(T<sub>L</sub>); GenCost(T<sub>R</sub>); 3.  $[l_1, l_2] \leftarrow OPT(T_L)$ ;  $[r_1, r_2] \leftarrow OPT(T_R)$ 4.  $t_1 \leftarrow \min\{l_1, r_1\}$ ;  $t_2 \leftarrow \min\{l_2, r_2\}$ 5. for  $i \leftarrow t_1$  to  $t_2$  do  $g[i,T] \leftarrow g[i,T_L] + g[i,T_R]$ 6. for  $i \leftarrow t_2 + 1$  to m do  $g[i,T] \leftarrow \min\{g[i-1,T] + c_{\lambda}, g[i,T_L] + g[i,T_R]\}$ 7. for  $i \leftarrow t_1 - 1$  downto 1 do  $g[i,T] \leftarrow \min\{g[i+1,T] + c_{\delta}, g[i,T_L] + g[i,T_R]\}$ 

#### Table of Time Complexities

|                        | One optimal answer | k optimal answer |
|------------------------|--------------------|------------------|
| Durand et al. 2005     | $O(nm^2)$          | $O(nm^2 + nmk)$  |
| This work              | O(nm)              | O(nm + nk)       |
| This work (unit costs) | O(n)               | O(nk)            |

n the size of the species tree.

m the maximum number of gene copies in a species.

 $-c_{\delta} \leq \Delta g(x,T) \leq c_{\lambda}$ , so m can be replaced by  $\min\{m,c_{\delta}+c_{\lambda}\}$ 

#### Summary and Future Work

• We proposed an algorithm running O(m) times faster than the previous algorithm. In some gene families like kinases m can be a large number (several hundreds).

 We are currently working on including horizontal gene transfers into the model. should be considered in the macro-evolutionary problem.

## ET CE SOIR ..... ALLEZ LES BLEUS

