A 12/11-Approximation Algorithm for Minimum 3-Way Cut

David Karger (MIT), Phillip Klein (Brown), Cliff Stein (Columbia) Mikkel Thorup (AT&T), Neal Young (UCR)

``As the field of approximation algorithms matures, methodologies are emerging that apply broadly to many NPhard optimization problems. One such approach has been the use of metric and geometric embeddings in addressing graph optimization problems. Faced with a discrete graph optimization problem, one formulates a relaxation that maps each graph node into a metric or geometric space, which in turn induces lengths on the graph's edges. One solves this relaxation optimally and then derives from the relaxed solution a near-optimal solution to the original problem."

problem: 3-way cut

- input: undirected graph, three terminal nodes
- output: three-way cut (subset of edges whose removal separates the terminals)
- objective: minimize number of edges cut

• NP-HARD









Approach [Calinescu et al, 1998]

- I. Embed graph into triangle.
- 2. Cut *triangle* using randomized cutting scheme. ... induces cut of embedded graph.



goal: Bound expected number of edges cut.

Step I: embedding

- a. Assign vertices to points in the triangle.
- b. Constrain each terminal to a corner.
- c. Minimize sum of edge lengths (L_1 metric).

- Optimal embedding via linear program.
- Value of LP is at most |optimal 3-cut| .

LP for finding optimal embedding

minimize
$$\frac{1}{2} \sum_{(u,v) \in E} d_{uv}$$
$$(x_{t1}, y_{t1}, z_{t1}) = (1, 0, 0)$$
$$(x_{t2}, y_{t2}, z_{t2}) = (0, 1, 0)$$
$$(x_{t3}, y_{t3}, z_{t3}) = (0, 0, 1)$$
$$(\forall u) \quad x_u + y_u + z_u = 1$$
$$(\forall u, v) \qquad d_{uv} \ge |x_u - x_v| + |y_u - y_v| + |z_u - z_v|$$

Each vertex u is mapped to a point (x_u, y_u, z_u) , determined by the LP, to minimize sum of embedded edge lengths.

Embedding (animated)



Step 2: cutting the triangle (Calinescu et al's scheme)

- a. Choose 2 of 3 sides randomly.
- b. Choose a random slice parallel to each sides.

$\Pr[edge(u,v) cut] \le (4/3) d_{uv}$ a. Pr[cut by red] = $(2/3) d_{\mu\nu}$ b. Pr[cut by green] = $(2/3) d_{\mu\nu}$ c. Pr[cut] $\leq 2 \times (2/3) d_{\mu\nu}$

Expected #edges cut $\leq 4/3$ OPT

$$\text{lemma:} \quad \Pr[\text{edge}(u,v) \text{ cut}] \leq \frac{4}{3}d_{uv}$$

corollary: expected number of edges cut $\leq \frac{4}{3} \sum_{(u,v) \in E} d_{uv}$ $= \frac{4}{3} |value of LP|$ $\leq \frac{4}{3} |optimal 3-cut|$

Better cutting scheme



Ball cut

- i. Choose random point on star
- ii. Choose three of six rays parallel to sides



- -- density of horizontal slice
 - -- only one of two rays (red or green)
- -- segment can be cut from two orientations
- -- probability of ball cut
- = |2/||

x 8/11

3/2

x 2

x 1/2

distribution of slices made by ball cuts

Expected #edges cut $\leq 12/11$ OPT

lemma:
$$\Pr[\text{edge}(u,v) \text{ cut}] \leq \frac{12}{11} d_{uv}$$



More

- Generalizes to K-way cut (ratio < 1.34...)
- K=3 case done also by Cunningham and Tang
- Meta-problem of finding an optimal cutting scheme can be formulated as an infinite LP!
- For K=3, no better cutting scheme for this LP relaxation is possible. Would need better relaxation to improve result.
- K > 3 much harder. Improve constant?

