Name:
Student ID:

Answer all questions. State any assumptions clearly.

**Problem 1:** (18 points; 5 minutes) Indicate whether each of the following statements is true or false:

(T) (F) Its important to design the scheduler to prevent deadlock

(T) (F) System calls cause a trap and a change of the privilege mode bit

(T) (F) Threads share data, instructions and stack

(T) (F) Multi level feedback schedulers require knowledge of the process run time

(T) (F) A process in the running state can move to the waiting state but not vice versa

(T) (F) Using atomic instructions is better than disabling interrupts to implement locks

**Problem 2:** (20 points; 10 minutes):

(a) (10 points) Demonstrate a RAG that has a cycle spanning at least 3 processes.

Simplest is:

```
+-----P1-----R1-----P2-----R2-----P3-----R3-----+
|                                          |
+------------------------------------------+
```

(c) (10 points) Suggest a deadlock prevention algorithm and explain how it would have prevented the deadlock in part a.

Need to identify a strategy, explain the prevention algorithm and then how it applies to your case above. Many answers missed some of these ingredients. For example, many answered explained what the processes should do in the example above, but not in general how you would implement it to prevent any deadlock.

One possibility is to prevent hold and wait by asking each process to get all its resources in one shot (One Shot Allocation). So, none of the processes would be holding and waiting – they are either holding if the allocation succeeds, or waiting if it does not and the example above cannot happen since it has holding and waiting processes. For example, P1 acquires R1 and R3 first – success. P2 tries to get R1 and R2 and finds that R1 is not available so it blocks waiting for both to become available. P3 tries to get R2 and R3 and finds that R3 is not available so it also waits. When P1 finishes either P2 or P3 will succeed in getting both its resources (lets say P2) and now P3 continues to wait without holding anything since R2 is not available.

Another possibility is to create an order on the resources so that each process must compete for R1, before R2, before R3 if it needs them. So, P1 and P2 compete for R1, and one of them gets it (lets say P2), P2 and P3 compete for R2 and one of them gets it. If P2, then P2 can run (no deadlock). If P3, then P3 can acquire R3 (P1 is not competing for it since it does not have R1 yet) and we have no deadlock either.
**Problem 3:** (34 pts; 20 minutes) Consider the following C code.

```c
int main() {
    int pid1=0, pid2=0;
    int count = 1;

    pid1 = fork();

    if(!pid1)
        { 
            pid2=fork();
            count++;
        }

    if(pid1==pid2) {
        printf("%d \n", count);
    } else
        printf("%d \n", count+3);
}
```

//For part C, consider what would happen if the following statement is uncommented:
// if(pid2) waitpid(pid2, status, NULL);

printf("%d \n",count);

(a) (14 points) Draw the process tree representing the above program execution including outputs. Explain the possible outputs and list two examples (just the numbers that are printed in order; ignore the formatting)

```
+---------+
|         |
|         |
|         |
+---------+

pid2=0 pid1=0 pid1=pid2=0

+---------+
|         |
|         |
|         |
+---------+

print 4 print 5

+---------+
|         |
|         |
|         |
+---------+

print 1 print 2 

|         |
|         |
|         |
+---------+

print 2

|         |
|         |
|         |
+---------+

print 2

The only restrictions are that each process must print its two numbers sequentially. Otherwise, any interleaving is legal. So, 4-1-5-2-2-2 or 2-4-1-5-2-2, 2-2-4-5-1-2, etc...
(b) (8 points) If the scheduler is non-preemptive and the parent process always runs first after a fork, give one output that is possible and another that is not possible.

One 4-1 happens before 5-2 since parent runs first, and 5-2 happens before 2-2 since parent runs first. The schedule is also non-preemptive, which means once a process runs, it continues to the finish or until it has to wait which does not exist other than part c.

Possible: 4-1-5-2-2-2. Not possible: anything else such as 5-2-4-1-2-2 (child1 ran first) or 4-5-1-2-2-2 since the scheduler preempted one process to run the other as we see 4-1 interleave with 5-2.

(c) (6 points) Assume that the commented code marked for part C is uncommented. Give one output that is possible without the wait that would not be possible with the wait. In other words, the solution output is possible in the original code, but not possible when the wait is inserted.

The condition here only has the parent process wait for its second child before printing the last number which is 2. However, since the child only prints 2-2 we only get reordering of the 2s, and no visible output that used to be legal will be illegal. More specifically, ignoring 4-1 which can happen in any order, we have 5-2 and 2-2 from the first and second child respectively. The wait forces the 2 in the first child to wait until the 2-2 from the second child prints. So, this means 5-2*-2-2 and 5-2-2*-2 with 2* being the 2 printed by the first child will not occur. However, this sequence can occur 5-2-2-2* making 5-2-2-2 legal.

Another way to look at it is to see all the possible permutations of 5-2-2-2. They were all legal before provided there was one 2 at the end to preserve the 5-2 sequential order. All those cases remain legal because the 2 could be the 2* meaning the wait occurred correctly.

So, this ended up being an unintentional trick question. If you explained the effect of the wait you got full credit, otherwise I gave partial credit based on your answer.

(d) (6 points) Consider the original parent process. Explain (pointing to the code where appropriate) where the process could be in the running, ready and waiting states. The scheduler algorithm is unknown and can be anything.

As the parent executes, it is in the running (e.g., printing is in the running state). When it gets preempted and a child runs, then it is in the ready state. If it executes the wait and has to wait for a child, then it is in the waiting state.
Problem 4: (28 pts; 15 minutes)
A group of students are studying for the cs153 midterm. At the same time, they are eating the national computer science food (Pizza). Each student grabs a slice of pizza then eats and studies for a while. If there are no slices, they signal the store to deliver more, and then wait until the pizza arrives. The pseudocode is shown below.

1. int slices = 0;
2. student() {
3. while (1) {
4. mutex.wait();
5. if (slices == 0) {
6. pizzaStore.signal();
7. pizzaArrived.wait();
8. slices = n; }
9. slices --;
10. mutex.signal();
11. eat();
12. study(); }
13. }

(a) (10 points) Show the pseudocode for a delivery person thread that responds to requests for new pizza such that it can complete the implementation above.

delivery() {
pizzaStore.wait();
makeAndDeliverPizza();
pizzaArrived.signal();}

(b) (9 points) What are the initial values for all the semaphores used in the implementation?

mutex = 1, pizzaStore = 0, pizzaArrived = 0.

(c) (9 points) Three student threads arrive at the start when there is no pizza. Explain where they will be waiting.

One student waits at 7 for the pizza to arrive. The other two wait at 4 to get the mutex after the first student releases it at 10.