

# CS/MATH 111, Discrete Structures - Winter 2019.

## Discussion 6 - Non-homogeneous Recurrences, Divide and Conquer & Inclusion - Exclusion

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# Outline

Non-homogeneous recurrence

Divide and Conquer

Inclusion-Exclusion

# Non-homogeneous recurrence<sup>1</sup>

## Theorem

$$f_n = f'_n + f''_n$$

*If  $\{f''_n\}$  is a particular solution of the non-homogeneous linear recurrence relation with constant coefficients:*

$$f_n = c_1 \cdot f_{n-1} + c_2 \cdot f_{n-2} + \cdots + c_k \cdot f_{n-k} + g(n)$$

*then every solution is of the form  $\{f'_n + f''_n\}$ , where  $\{f'_n\}$  is a solution of the associated homogeneous recurrence relation.*

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<sup>1</sup>Proof available at [Rosen, 2015. pg 521].

# Linear Non-homogeneous recurrence relations

$$g(n) = 5$$

$$f''_n = p_0$$

$$g(n) = 5n + 1$$

$$f''_n = p_1n + p_0$$

$$g(n) = 5n^2 + 1$$

$$f''_n = p_2n^2 + p_1n + p_0$$

$$g(n) = 5n^2 + n + 1$$

$$f''_n = p_2n^2 + p_1n + p_0$$

$$g(n) = n2^n$$

$$f''_n = (p_1n + p_0) 2^n$$

$$g(n) = 2^n (5n^2 + n + 1)$$

$$f''_n = (p_2n^2 + p_1n + p_0) 2^n$$

# Non-homogeneous recurrence

- ▶ Find a particular solution for recurrence relation:

$$f_n = 3 \cdot f_{n-1} + f_{n-2} + 6 \quad (1)$$

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$$f_n'' = p_0 \quad (2)$$

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- ▶  $g(n) = 6$ , so:

$$f_n'' = p_0 \quad (2)$$

- ▶ Plugin (2) in (1) becomes:

$$p_0 = 3 \cdot p_0 + p_0 + 6$$

$$p_0 - p_0 - 3 \cdot p_0 = 6$$

$$p_0 = -\frac{6}{3} = -2 \quad (3)$$

## Non-homogeneous recurrence

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- ▶ Finally, (3) in (2):

$$f_n'' = -2$$

# Non-homogeneous recurrence

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- ▶  $g(n) = 3 \cdot 2^n$ , so:

$$f_n'' = p_0 \cdot 2^n \quad (2)$$

# Non-homogeneous recurrence

- ▶ Plug (2) in (1) becomes:

$$p_0 \cdot 2^n = 3 \cdot p_0 \cdot 2^{n-1} + p_0 \cdot 2^{n-2} + 3 \cdot 2^n$$

$$p_0 \cdot 2^n = 2^{n-2}(3 \cdot p_0 \cdot 2^1 + p_0 \cdot 2^0 + 3 \cdot 2^2)$$

$$p_0 \cdot 2^2 = 3 \cdot p_0 \cdot 2 + p_0 + 3 \cdot 4$$

$$4 \cdot p_0 = 7 \cdot p_0 + 12$$

$$-3 \cdot p_0 = 12$$

$$p_0 = -4 \tag{3}$$

# Non-homogeneous recurrence

- ▶ Plug (2) in (1) becomes:

$$p_0 \cdot 2^n = 3 \cdot p_0 \cdot 2^{n-1} + p_0 \cdot 2^{n-2} + 3 \cdot 2^n$$

$$p_0 \cdot 2^n = 2^{n-2}(3 \cdot p_0 \cdot 2^1 + p_0 \cdot 2^0 + 3 \cdot 2^2)$$

$$p_0 \cdot 2^2 = 3 \cdot p_0 \cdot 2 + p_0 + 3 \cdot 4$$

$$p_0 \cdot 4 = 7 \cdot p_0 + 12$$

$$p_0 = -4 \tag{3}$$

- ▶ Finally, (3) in (2):

$$f_n'' = -4 \cdot 2^n$$

# Non-homogeneous recurrence

Solve the following non-homogeneous recurrence:

$$f_n = 4 \cdot f_{n-1} - 4 \cdot f_{n-2} + 2 \cdot 5^n \quad (1)$$

with initial condition:  $f_0 = 1$  and  $f_1 = 2$ .

# Non-homogeneous recurrence

Solve the following non-homogeneous recurrence:

$$f_n = 4 \cdot f_{n-1} - 4 \cdot f_{n-2} + 2 \cdot 5^n \quad (1)$$

with initial condition:  $f_0 = 1$  and  $f_1 = 2$ .

►  $f'_n = 4 \cdot f_{n-1} - 4 \cdot f_{n-2}$

1. Characteristic equations and its roots:

$$x^2 - 4 \cdot x + 4 = 0$$

$$(x - 2)(x - 2) = 0$$

$$x_{1,2} = 2$$

2. General form of the solution:

$$f'_n = \alpha_1 \cdot 2^n + \alpha_2 \cdot n \cdot 2^n$$

## Non-homogeneous recurrence

Solve the following non-homogeneous recurrence:

$$f_n = 4 \cdot f_{n-1} - 4 \cdot f_{n-2} + 2 \cdot 5^n \quad (1)$$

with initial condition:  $f_0 = 1$  and  $f_1 = 2$ .

▶  $g(n) = 2 \cdot 5^n$ , so:

$$f_n'' = p_0 \cdot 5^n \quad (2)$$

▶ Plug (2) in (1) becomes:

$$p_0 \cdot 5^n = 4 \cdot p_0 \cdot 5^{n-1} - 4 \cdot p_0 \cdot 5^{n-2} + 2 \cdot 5^n \quad (3)$$

$$p_0 = \frac{50}{9}$$

▶ Finally, (3) in (2):

$$f_n'' = \frac{50}{9} \cdot 5^n$$

# Non-homogeneous recurrence

Solve the following non-homogeneous recurrence:

$$f_n = 4 \cdot f_{n-1} - 4 \cdot f_{n-2} + 2 \cdot 5^n \quad (1)$$

with initial condition:  $f_0 = 1$  and  $f_1 = 2$ .

- ▶  $f'_n = \alpha_1 \cdot 2^n + \alpha_2 \cdot n \cdot 2^n$
- ▶  $f''_n = \frac{50}{9} \cdot 5^n$
- ▶  $f_n = \alpha_1 \cdot 2^n + \alpha_2 \cdot n \cdot 2^n + \frac{50}{9} \cdot 5^n$

3 Initial condition equations and their solutions:

$$f_0 = \alpha_1 \cdot 2^0 + \alpha_2 \cdot 0 \cdot 2^0 + \frac{50}{9} \cdot 5^0 = \alpha_1 + \frac{50}{9} = 1$$

$$f_1 = \alpha_1 \cdot 2^1 + \alpha_2 \cdot 1 \cdot 2^1 + \frac{50}{9} \cdot 5^1 = 2 \cdot \alpha_1 + 2 \cdot \alpha_2 + 5 \cdot \frac{50}{9} = 2$$

where  $\alpha_1 = -\frac{41}{9}$  and  $\alpha_2 = -\frac{25}{3}$ .

4 Final answer:

⋮

# Outline

Non-homogeneous recurrence

Divide and Conquer

Inclusion-Exclusion

# Divide and Conquer

## Theorem

Let  $a \geq 0$ ,  $b > 0$ ,  $c > 0$  and  $d \geq 0$ . If  $T(n)$  satisfies the recurrence then

$$T(n) = a \cdot T\left(\frac{n}{b}\right) + c \cdot n^d$$

$$T(n) = \begin{cases} \Theta(n^{\log_b a}) & a > b^d \\ \Theta(n^d \log n) & a = b^d \\ \Theta(n^d) & a < b^d \end{cases}$$

# Divide and Conquer

- ▶ Give the asymptotic value (using the  $\Theta$ -notation) for the number of letters that will be printed by the following algorithms. You need to provide an appropriate recurrence equation and its solution.

# Divide and Conquer

---

```
1 def PrintXs(n: integer)
2   if(n < 3)
3     print("X")
4   else
5     PrintXs(n/3)
6     PrintXs(n/3)
7     PrintXs(n/3)
8     for(i <- 1 to 2*n)
9       print("X")
```

---

# Divide and Conquer

---

```

1 def PrintXs(n: integer)
2   if(n < 3)
3     print("X")
4   else
5     PrintXs(n/3)
6     PrintXs(n/3)
7     PrintXs(n/3)
8   for(i <- 1 to 2*n)
9     print("X")

```

---

- ▶ We have 3 recursive calls, each with parameter  $\frac{n}{3}$ .
- ▶ Recurrence is  $X(n) = 3 \cdot X\left(\frac{n}{3}\right) + 2 \cdot n$ .
- ▶ If  $a = 3$ ,  $b = 3$ ,  $c = 2$  and  $d = 1$  then  $a = b^d$  and  $\Theta(n^d \log n)$ .

# Divide and Conquer

---

```
1 def PrintYs(n: integer)
2   if(n < 2)
3     print("Y")
4   else
5     for(i <- 1 to 16)
6       PrintYs(n/2)
7     for(i <- 1 to n^3)
8       print("Y")
```

---

# Divide and Conquer

---

```

1 def PrintYs(n: integer)
2   if(n < 2)
3     print("Y")
4   else
5     for(i <- 1 to 16)
6       PrintYs(n/2)
7     for(i <- 1 to n^3)
8       print("Y")

```

---

- ▶ We have 16 recursive calls, each with parameter  $\frac{n}{2}$ .
- ▶ Recurrence is  $X(n) = 16 \cdot X\left(\frac{n}{2}\right) + n^3$ .
- ▶ If  $a = 16$ ,  $b = 2$ ,  $c = 1$  and  $d = 3$  then  $a > b^d$  and  $\Theta(n^{\log_2 16})$ .

# Divide and Conquer

---

```
1 def PrintZs(n: integer)
2   if(n < 3)
3     print("Z")
4   else
5     PrintZs(n/3)
6     PrintZs(n/3)
7     for(i <- 1 to 7*n)
8       print("Z")
```

---

# Divide and Conquer

---

```

1 def PrintZs(n: integer)
2   if(n < 3)
3     print("Z")
4   else
5     PrintZs(n/3)
6     PrintZs(n/3)
7     for(i <- 1 to 7*n)
8       print("Z")

```

---

- ▶ We have 2 recursive calls, each with parameter  $\frac{n}{3}$ .
- ▶ Recurrence is  $X(n) = 2 \cdot X\left(\frac{n}{3}\right) + 7 \cdot n$ .
- ▶ If  $a = 2$ ,  $b = 3$ ,  $c = 7$  and  $d = 1$  then  $a < b^d$  and  $\Theta(n)$ .

# Divide and Conquer

(d) Algorithm PRINTUS ( $n$  : integer)

```

if  $n < 4$ 
  print("Un")
else
  PRINTUS( $\lceil n/4 \rceil$ )
  PRINTUS( $\lfloor n/4 \rfloor$ )
  for  $i \leftarrow 1$  to 11 do print("Un")

```

(e) Algorithm PRINTVS ( $n$  : integer)

```

if  $n < 3$ 
  print("Vn")
else
  for  $j \leftarrow 1$  to 9 do PRINTVS( $\lfloor n/3 \rfloor$ )
  for  $i \leftarrow 1$  to  $2n^3$  do print("Vn")

```

# Divide and Conquer

(d)

There are 2 recursive calls, each with parameter  $\lceil n/4 \rceil$ . Since we are looking for an asymptotic solution, we can ignore rounding. Then the number of letters printed can be expressed by the recurrence:

$$X(n) = 2X(n/4) + 11.$$

We apply the Master Theorem with  $a = 2$ ,  $b = 4$ ,  $c = 11$ ,  $d = 0$ . Here, we have  $a > b^d$ , so the solution is  $\Theta(n^{\log_4 2})$ .

(e)

There are 9 recursive calls, each with parameter  $\lceil n/3 \rceil$ . Since we are looking for an asymptotic solution, we can ignore rounding. Then the number of letters printed can be expressed by the recurrence:

$$X(n) = 9X(n/3) + 2n^3.$$

We apply the Master Theorem with  $a = 9$ ,  $b = 3$ ,  $c = 2$ ,  $d = 3$ . Here, we have  $a < b^d$ , so the solution is  $\Theta(n^3)$ .

# Outline

Non-homogeneous recurrence

Divide and Conquer

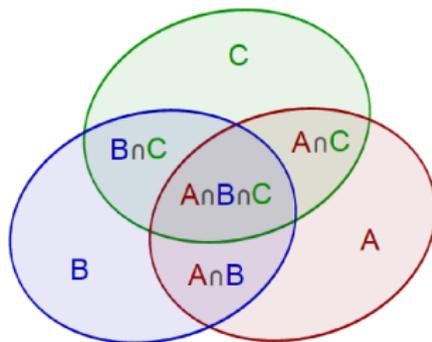
Inclusion-Exclusion

# Inclusion-Exclusion

**Problem 2:** We have a group of people, each of which is a citizen of either US or Mexico or Canada. Half of the people in this group are US citizens, 10 are Mexican citizens, 17 are Canadian citizens, 4 people have dual US-Mexican citizenship, 5 have US-Canadian citizenship, 6 have Canadian-Mexican, and 2 are citizens of all three countries. How many people are in this group? Show your work.

# Inclusion-Exclusion

$$\begin{aligned} |A \cup B \cup C| &= |A| + |B| + |C| \\ &\quad - |A \cap B| - |A \cap C| - |B \cap C| \\ &\quad + |A \cap B \cap C| \end{aligned}$$



## Inclusion-Exclusion

US citizens:	$ A $	$= \frac{X}{2}$
Mexican citizens:	$ B $	$= 10$
Canadian citizens:	$ C $	$= 17$
US-Mexican citizens:	$ A \cap B $	$= 4$
US-Canadian citizens:	$ A \cap C $	$= 5$
Canadian-Mexican citizens:	$ B \cap C $	$= 6$
Citizens of all countries:	$ A \cap B \cap C $	$= 2$

$$X = \frac{X}{2} + 10 + 17 - 4 - 5 - 6 + 2$$

$$X = \frac{X}{2} + 14$$

$$X = 28$$

# Bibliography

- ▶ Discrete Mathematics and Its Applications. Rosen, K.H. 2012. McGraw-Hill.  
Chapter 8: Advanced Counting Techniques.  
Section 8.2: Solving Linear Recurrence Relations.  
Section 8.3: Divide-and-Conquer Algorithms and Recurrence Relations.  
Section 8.5: Inclusion-Exclusion.